

STRATA KNOWLEDGE

# MANDATORY INSPECTION REGULATIONS FOR AGEING BUILDINGS: AN ANALYSIS OF INTERNATIONAL TRENDS

### Mandatory Inspection Regulations for Ageing Buildings: An Analysis of International Trends

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#### Introduction

Strata schemes are becoming increasingly more complex. Most schemes being developed are larger, have more amenities, and owners corporations must comply with more regulations than ever before. Governing a strata scheme is not an easy task. It is evident that lot owners collectively need to be better equipped to make decisions about their strata scheme. Rarely are owners corporations held to account for poor decision-making. Even more concerning is when laws are ignored because compliance may lead to a financial imposition. Deterrent based penalties for non-compliance are essentially non-existent in strata legislation. Unfortunately, as witnessed in many jurisdictions, ignoring obligations, particularly involving building maintenance, can endanger the safety of residents and the public. There are now too many examples worldwide where tragedies have resulted due to a lack of building care. Buildings deteriorate over time, and it is essential that building maintenance is prioritised. Alarmingly, the building maintenance provisions in Australian strata legislation are arguably superficial compared to other compliance requirements that are unlikely to result in physical harm.

Obligations placed on owners corporations to, at the very least, maintain the common property has been a central tenet of legislation regulating strata-type properties in many jurisdictions. In Australia, the duty was first recognised in the 1961 New South Wales Conveyancing (Strata Titles) Act requiring bodies corporate to keep the common property in a state of good and serviceable repair and properly maintained.<sup>1</sup> Although today, variations exist across the jurisdictions in terms of this duty's ambit, it is a compliance obligation placed solely on owners corporations, relatively free from government oversight or intervention.<sup>2</sup> The government-led interventionist approach seen in other countries has not been a feature in Australia.

Many mandated inspection regimes, particularly in the U.S.A, have concentrated on building facades. The concern being that dislodged materials or elements on the exterior of a building could cause death or injury to the public. A visit to New York City demonstrates the regime in practice, with thousands of buildings encased in scaffolding as they are inspected and repaired. Fewer jurisdictions have required more comprehensive, holistic inspections of ageing buildings until recently. The main catalyst for change was the 2021 partial collapse of Champlain Towers South in Miami, Florida. Although the causes and contributors of the collapse are still under investigation by the National Institute of Standards and Technology, there has been a spate of legal reforms aimed at mandating inspections for ageing residential buildings.

As Australian strata properties grapple with both systemic building defects and concerns around the lack of building repair and maintenance, it is timely to investigate, evaluate and discuss whether similar reforms should be introduced in Australia. This research project is an international cross-jurisdictional analysis of the current regulations that require strata-type buildings to be inspected during the lifecycle (post any initial defect inspection).

The underlying rationales for the implantation of mandatory inspections

To date, there has been limited research investigating mandatory building inspections for ageing buildings. However, several papers have discussed the rationale for the

<sup>&</sup>lt;sup>1</sup> s 15(1)(f).

<sup>&</sup>lt;sup>2</sup> It should be noted that some Australian state governments (agencies) have undertaken building inspections related to specific issues, for example, combustible cladding. There are also fire safety inspections in most states requiring buildings to lodge an annual statement with prescribed authorities.

implementation of these inspection regimes. General dilapidation, where owners have failed to adequately maintain and repair the building, and high incidents of falling objects from building facades, appear to be the most prevalent reasons for the imposition of mandatory inspection regimes.

Building dilapidation has been an acute and widespread problem in Hong Kong with concrete spalling, water leaking, structural and non-structural cracking noted as common failures in building over 30 years of age. The construction boom in the early 1970s has been viewed as the source of the problem.<sup>3</sup> A Task Force on Building Safety and Preventive Maintenance reported that between 1990 and 2001, at least 101 people died as a result of building-related accidents in private housing.

In 2003, the outbreak of Severe Acute Respiratory Syndrome (SARS) in Hong Kong heightened concerns regarding building neglect.<sup>4</sup> As a result, the Housing, Planning and Lands Bureau initiated a public consultation process on building management and maintenance. Essentially two propositions were outlined in the consultation process. The first being the retention of the existing framework, where building owners retain sole responsibility for maintenance and repairs. The second was the introduction of a mandatory building inspection program. Public feedback confirmed that the first proposition was not feasible if Hong Kong aspired to be a safer and hygienic living environment. According to Chan et al, financial constraints, a lack of skill and knowledge, and low awareness of maintenance obligations have contributed to building care inaction.<sup>5</sup> The second proposition, to introduce a mandatory inspection program, was supported by the majority of respondents.<sup>6</sup>

Nine years after the initial consultation, the Mandatory Building Inspection Scheme (MBIS) was implemented. The focus of the MBIS is on building elements essential to public safety. In conjunction with the MBIS, there is a Voluntary Building Assessment Scheme (VBAS), an initiative recognising well-managed and properly maintained buildings. Mandatory building inspections are exempted for buildings receiving a satisfactory safety rating under the VBAS.<sup>7</sup>

High incidents of falling facade parts on older buildings has led to the introduction of a mandatory inspection scheme in Singapore. According to Chew, within a three-year period, there were 90 reported incidents of falling debris off buildings.<sup>8</sup>

There was an inspection program (recertification) in the county the Champlain Towers South building was located. However, the collapse instigated a state-wide regulatory response which included the unanimous passing of Senate Bill 4-D in 2022. The Bill created a mandatory structural inspection scheme for condominiums and cooperatives in Florida. The Bill also directed the Florida Building Commission to complete two assignments. The first entailed a review of the inspection requirements laid out in the Bill and to make recommendations. The second was to provide recommendations for the adoption of

<sup>&</sup>lt;sup>3</sup> Daniel Chan, Henry Hung, Albert Chan, Tony Lo, 'Overview of the Development and Implementation of the Mandatory Building Inspection Scheme (MBIS) in Hong Kong' (2014) 4(1) *Built Environment Project and Asset Management* 71.

<sup>&</sup>lt;sup>4</sup> Housing, Planning and Lands Bureau, Report on the Public Consultation on Building Management and Maintenance - Full Consultation Report

<sup>(</sup>https://www.devb.gov.hk/en/publications\_and\_press\_releases/Consultation\_Papers\_Reports/bmm\_cr /report\_index/full\_report/index.html#1)

<sup>&</sup>lt;sup>5</sup> Chan (n 3).

<sup>&</sup>lt;sup>6</sup> Ibid.

<sup>7</sup> Ibid.

<sup>&</sup>lt;sup>8</sup> Michael Chew, 'Façade inspection for falling objects from tall buildings in Singapore' (2023) 41(6) *International Journal of Building Pathology and Adaptation* 162.

structural and life safety standards for maintaining and inspecting all building types over three stories.<sup>9</sup>

As part of the assignments, the Building Commission in conjunction with the University of Florida, undertook a research project assessing the current inspection regimes in Miami-Dade and Broward counties. Property valuation data and completed standardised inspection forms provided the framework for data categorisation and analysis.<sup>10</sup> One important aspect of this study was to determine whether buildings closer to the coast were exposed to harsher environmental conditions and therefore required different inspection protocols.<sup>11</sup> It is important to note that the study included all building types, not just condominiums and cooperatives.

In summary, the study found that:

- Of the 40-year inspection reports analysed, 26% of all buildings indicated that some type of repair was required. A slightly lower percentage (22%) was evident in more recent inspections. Residential condominiums had a higher percentage of buildings requiring repair (e.g. over 30% on both recent and 40-year inspections).
- There was a slight difference between the buildings closer to the coast in terms of repair requirements than those further away.
- Most buildings were reported to have concrete in good condition with 25% of recent inspections reported as either fair or poor.
- Balconies tended to experience high rates of deterioration due to exposure (especially ocean facing buildings).
- Significant cracking was reported in 13% of the buildings in the 40-year inspection reports.
- Visible corrosion was observed in 22% of the buildings in the 40-year inspection reports.
- The roof cladding systems were reported to have a lower percentage of good ratings (34%) in the 40-year inspections compared to the most recent inspections.

Table 1: Comparison between inspection metrics reported in the 40-year and most recent inspection reports<sup>12</sup>

| Inspection Metric Percent               | 40-Year Inspection<br>Reports | Most Recent Inspection<br>Reports |
|---|-------------------------------|-----------------------------------|
| Repairs required                        | 26%                           | 22%                               |
| General concrete condition fair or poor | 23%                           | 25%                               |
| Concrete with significant cracking      | 13%                           | 12%                               |
| Concrete with visible corrosion         | 22%                           | 16%                               |
| Balconies fair or poor                  | 17%                           | 12%                               |
| Guards fair or poor                     | 7%                            | 5%                                |
| Roof structural systems fair or poor    | 25%                           | 28%                               |
| Roof cladding fair or poor              | 24%                           | 27%                               |

<sup>&</sup>lt;sup>9</sup> Ron DeSantis, Melanie Griffin, James Schock, Florida Building Commission 'Recommendations on milestone structural inspection requirements' (2022).

<sup>&</sup>lt;sup>10</sup> Jennifer A. Bridge, Christopher Ferraro, Thomas Sputo, Forrest Masters, 'Assessment of Inspection Reporting and Building Conditions in South Florida' (Miami-Dade and Broward Counties), Final Report (2022).

<sup>&</sup>lt;sup>11</sup> Ibid

<sup>&</sup>lt;sup>12</sup> Table reproduced directly from Bridget (n 10) report.

| Floor system fair or poor             | 15% | 19% |
|---------------------------------------|-----|-----|
| Window general condition fair or poor | 39% | 37% |

The authors of the report noted that there was some evidence to support the conclusion that buildings located closer to the coast require more repairs. However, they were unable to determine at what age in the building's lifecycle an inspection should be undertaken. Further, they were unable to determine whether earlier inspections (prior to 40 years of age) were likely to result in safer buildings. They were of the view however that earlier inspections would promote more proactivity in terms of maintenance. Importantly, the study found that subsequent inspections (every 10 years) after the initial inspection reduced the need for repairs (approx. 5%).

Unfortunately, the analysis and reporting does not clearly segregate the data relating to condominiums and cooperatives for each structure assessed. Although the report highlights that condominiums and cooperatives had more repair requirements than other building types, detail was lacking.

#### Community Associations Institute (CAI) Policy

CAI is a membership-based organisation in the U.S.A that, amongst other things, advocates on behalf of common interest developments (strata schemes). CAI has issued a policy statement that advocates for initial and recurring inspections for buildings owned and maintained by an association. CAI's position is that the first inspection acts as a baseline measure for subsequent inspections and that this should occur within the first five years of occupancy. Following inspections should occur at the 10 year and 20 year marks post occupancy and then every five years.<sup>13</sup>

#### Research Method and Analysis

Regulations mandating inspections for ageing buildings of twelve English speaking jurisdictions were reviewed and evaluated for this study. Ten of the jurisdictions were situated in the U.S.A (state, county and city) with Hong Kong and Singapore making up the sample. Table 2 outlines the jurisdictions reviewed and the regulation date (either inception or when the regulation takes effect).

| USA               | Date | Asia      | Date |
|-------------------|------|-----------|------|
| California        | 2025 | Singapore | 2022 |
| Florida           | 2023 | Hong Kong | 2012 |
| New Jersey        | 2024 |           |      |
| Miami-Dade County | 1975 |           |      |
| New York City     | 1998 |           |      |
| Chicago           | 1996 |           |      |
| San Francisco     | 2016 |           |      |
| Boston            | 1995 |           |      |
| Cincinnati        | 2016 | ]         |      |
| Cleveland         | 2016 |           |      |

Table 2: Jurisdictions reviewed by location and regulation date

Tables 4 and 5 provide a summary of the core regulation attributes for each jurisdiction.

<sup>&</sup>lt;sup>13</sup> Community Associations Institute: https://www.caionline.org/AboutCAI/Pages/default.aspx

Each jurisdiction reviewed has created its own unique inspection program. The legal requirements are embedded in either national or state legislation, or in building codes, ordinances, or city rules. The Miami-Dade County recertification program is the oldest program reviewed which commenced in 1975, with significant reforms in 2022. The majority of jurisdictions (8) commenced their inspection programs after 2015 with three commencing after the collapse of Champlain Towers South in 2022. Aside from the Miami-Dade rectification program, all of the older inspection programs (6 jurisdictions) are focused solely on exterior wall or facade inspections. Following is a comparative analysis of eight core regulatory areas reviewed:

#### 1. Regulatory purpose

Unsurprisingly, safety and /or structural stability is at the heart of the inspection regulations for most of the jurisdictions specifying a purpose. These purposes are achieved by ensuring that the specific building elements, subject to the inspection (e.g. facade, structure, identified constructions systems) are maintained as buildings age. For example, Florida's new legislation states:

The Legislature finds that maintaining the structural integrity of a building throughout the life of the building is of paramount importance in order to ensure that buildings are structurally sound so as to not pose a threat to the public health, safety, or welfare. As such, the Legislature finds that the imposition of a statewide structural inspection program for aging condominium and cooperative buildings in this state is necessary to ensure that such buildings are safe for continued use.

#### 2. Target buildings and exemptions

All but one jurisdiction places parameters on the type of building targeted for inspection. Most parameters are based on the number of storeys (e.g. must exceed specified storeys (3, 4, 5 or 6) or building height (e.g. must exceed 13, 18, 21, 23 or 24 metres). New Jersey, the most recent regulation, determines inclusion based on the building materials used. That is:

Residential condominium or cooperative buildings that have a primary load bearing system that is comprised of a concrete, masonry, steel, or hybrid structure including, without limitation, heavy timber and buildings with podium decks.

The majority of regulations either implicitly or explicitly exclude single-story dwellings, duplexes and townhouses.

#### 3. Inspection cycle

There is considerable variation across the jurisdictions in terms of when the mandated inspections are to occur. Generally, there is an initial inspection followed by more frequent routine inspections. Five jurisdictions (Hong Kong, Florida, San Francisco, Miami-Dade, Cleveland) require an initial inspection when a building reaches 30 years followed by either five-yearly (only one jurisdiction) or 10 yearly follow-up inspections. New York and Boston require facade inspections to be undertaken every five years and Chicago every four, eight or 12 years depending on the building's classification which is based on the material used on the facade (e.g. if the facade is terra cotta, the inspection will be every four years). The jurisdictions that have recently undertaken reforms in this area, apply the following inspection cycles:

a. Singapore – for facade inspections, every seven years once the building reaches 20 years and for structural inspections every 10 years for residential buildings;

- b. California at least one every nine years;
- Florida at 30 years and then every 10 years. However, local agencies can reduce the initial period to 25 years for buildings with specific environmental conditions (e.g. close to the coastline);
- d. New Jersey at 15 years or 60 days after observable damage to the primary load bearing system is observed;
- e. Miami-Dade (new provisions 2022) prescribed buildings built between 1983 and 1997 within 4.8 kms of the coastline must be certified by 31/12/24 and then every 10 years; prescribed buildings built after 1998 and within 4.8 kms of coastline must be certified when the building reaches 25 years and then every 10 years; all other buildings built between 1983 and 1992 must be inspected by 21/12/24 and then every 10 years; and for all other buildings built on or after 1993, when the building reaches 30 years and then every 10 years.

A number of the recently reformed jurisdictions require baseline evaluations within a specified time frame (e.g. before 2026).

*4. Type of inspection and scope* 

Most regulations incorporate two inspection phases. An initial visual inspection and then if warranted a more detailed, critical examination. The regulations in Singapore and Hong Kong require approval from the Commissioner or building authority before a more detailed inspection can be undertaken. The nature and extent of the building elements and components to be inspected is prescribed in all regulations. Table 3 highlights the areas in which the inspections are concentrated. For most of the facade inspections, attachments (such as pipes, balustrades, fire escapes, hanging air conditioners, windows etc) are included in the inspection. Hong Kong and Miami-Dade County provide the most comprehensive building inspection requirements.

| Jurisdiction      | Façade       | Structural    | Comprehensive |
|-------------------|--------------|---------------|---------------|
| Singapore         |              | $\checkmark$  |               |
| Hong Kong         |              |               | $\checkmark$  |
| California        |              |               |               |
|                   |              | (and          |               |
|                   |              | waterproofing |               |
|                   |              | systems)      |               |
| Florida           |              |               |               |
| New Jersey        |              |               |               |
| New York City     | $\checkmark$ |               |               |
| Miami-Dade County |              |               |               |
| Chicago           | $\checkmark$ |               |               |
| San Francisco     | $\checkmark$ |               |               |
| Boston            | $\checkmark$ |               |               |
| Cincinnati        | $\checkmark$ |               |               |
| Cleveland         | $\checkmark$ |               |               |

Table 3: Inspection type by jurisdiction

#### 5. Inspection procedures

The majority of regulations require an engineer or architect to be engaged to perform the inspection. Some of the regulations refer to specific standards or guidelines that must be followed when conducting the inspection. Generally, the initial visual inspection is to make a qualitative assessment of the condition of the elements subject to the inspection. That is, whether there are safety concerns and if so, the extent of the safety issue. For facade

inspections in particular, scaffolding is often required, and the use of specific technology is allowed (e.g. drones, automated scanning equipment). Five of the regulations reviewed specifically provide for more detailed inspections. Often the trigger for the further inspection is based on the opinion of the engineer or architect. For example, in Singapore the provision states that:

If the appointed engineer suspects or is of the opinion that there is a defect, deformation or deterioration in the structure after the initial inspection and is of the opinion that a full structural investigation is required to ascertain the cause and appropriate measures to rectify...then a full structural investigation will take place.

In Florida, the regulation states:

If any structural deterioration is identified, then a phase 2 inspection is required and may involve destructive testing. The phase 2 inspection must be undertaken within 180 days of the phase 1 report being submitted. The investigation may be as extensive or limited as necessary to fully assess the structural distress and recommend a repair program.

#### 6. Reporting requirements

Generally, the reporting requirements in each jurisdiction follow a similar pathway. A written report must be submitted to an authority within a prescribed time frame with an overview of the inspection conducted and details of the building or facade condition. In some jurisdictions, a designation must be included (e.g. 'safe', 'safe with ordinary repairs and maintenance', 'unsafe' or 'unsafe and imminently hazardous'). Recommendations for repairs and/or maintenance must be outlined in the report. Some regulations include a prescribed form or extensively detail the information that must be included in the report. For example, Cincinnati requires each inspection report to include:

The name and address of the building and building owner; the name, business address, and phone number of the professional preparing the report; a site plan of the building; a description of the building, including the number of stories, height, plan dimensions, age, and type of exterior wall construction, describing cornices, soffits, or similar overhangs or features; photographs or drawings of all elevations of the building; a detailed description of the facade examination in narrative form, including start and completion dates; a designation of the building facade's status by the professional as "safe," "safe with ordinary repair and maintenance," "unsafe," or "unsafe and imminently hazardous"; drawings or photographs describing the locations and extent of all significant distress or deteriorated conditions observed in the facade; a description of recommended repair work and precautionary measures that should be taken to safeguard the public, emergency responders, and building occupants, if any, and the recommended completion date of such work; where appropriate, a comparison of conditions of the building facade with conditions observed during previous examinations of the same facade; a recommendation for future examination if earlier than the time period specified; other documents, notes, summaries etc.

#### 7. Rectification requirements

The rectification requirements appear dependent on the condition of the elements as reported. If unsafe conditions are reported, most jurisdictions require immediate works that are either preventive or corrective and include reinspection protocols. Generally, there is a requirement placed on the building owner to rectify within a prescribed period. For example, Hong Kong and Florida require building owners to carry out repair works within 12 months after receiving the written inspection report.

#### 8. Regulatory oversight and penalties

Most of the jurisdictions impose a penalty for regulatory non-compliance. Singapore has the most punitive financial penalties: for building owners who obstruct or hinder an engineer or competent person from performing their duty (max. \$5,000); for failing to carrying out recommendations (max. \$20,000); and failing to appoint an engineer or competent person (max. \$20,000). In addition, section 9(1) of the Building Maintenance and Strata Management Act 2004, states that:

Any person responsible for an exterior feature of a building who, without reasonable excuse, fails to keep or maintain the exterior feature in such manner as to be securely fixed to the building and as will prevent any collapse, partly or wholly, of the exterior feature or its support shall be guilty of an offence and shall be liable on conviction to a fine not exceeding \$10,000 or to imprisonment for a term not exceeding 12 months or to both.

In Hong Kong, the building authority may carry out an inspection and undertake works if a building owner does not comply with a notice. The costs associated with the inspection and works along with a surcharge (max. 20%) can be recovered as a debt due to the Government.

| Country / State<br>/ City                    | Singapore  | Hong Kong  | California   | Florida  | New Jersey   | New York City  |
|--|--|--|--|--|--|--|
| Title of<br>Building<br>Inspection<br>Scheme | Periodic Façade<br>Inspection (PFI) &<br>Periodic Structural<br>Inspection (PSI)   | Mandatory Building<br>Inspection Scheme<br>(MBIS)  | Not specified  | Milestone Inspections  | Not specified  | Facade Inspection<br>Safety Program  |
| Relevant<br>regulation/s                     | Building Control Act<br>1989 (S. 26-29)<br>Building Control<br>(Periodic Inspection of<br>Buildings and Building<br>Facades) Regulations<br>2021 (S. 3-17) | Building (Amendment)<br>Ordinance 2011 (S. 30A<br>– 30F)<br>Building (Inspection and<br>Repair) Regulation 2011  | California Code, Civil<br>Code (§5551)                           | FL Stat § 553.899<br>(2022)  | NJ A4384 (2022)  | The Rules of the City of<br>New York –<br>Maintenance of<br>Buildings: §103-04 |
| Year of<br>inception /<br>takes effect       | 2022   | 2012   | 2025   | 2023   | 2024   | 1998   |
| Regulatory<br>purpose<br>(summary)           | Ensure the structural stability and integrity of buildings.  | Prevent buildings<br>becoming unsafe.  | Not specified in review legislation.                             | Maintain structural<br>integrity, ensure<br>buildings are structurally<br>sound and don't pose a<br>threat to public health,<br>safety, and welfare. | Maintain structural integrity.   | Maintain building's<br>exterior walls in safe<br>condition.                    |
| Target<br>buildings                          | Buildings over 13<br>metres from ground to<br>highest roof point.  | Buildings exceeding 3<br>storeys (buildings are<br>selected by a panel for<br>inclusion based on<br>several factors – age,<br>condition, management,<br>potential risk to public,<br>building clusters. A<br>statutory notice is<br>provided to building | Buildings containing 3<br>or more multifamily<br>dwelling units. | Buildings that are 3 storeys or more.  | Buildings defined by the<br>law as "covered<br>buildings" - residential<br>condominium or<br>cooperative buildings<br>that have a primary load<br>bearing system that is<br>comprised of a<br>concrete, masonry,<br>steel, or hybrid structure | Buildings greater than 6<br>stories.   |

Table 4: Regulation summaries of mandated building inspections for ageing buildings<sup>14</sup>

<sup>14</sup> Table template based on Table III. Comparison of various building inspection schemes in different cities in Chan (n 3).

| Exemptions                      | Houses, semi-detached,<br>townhouses, buildings<br>less than 13 metres  | owner).<br>Buildings not exceeding<br>3 storeys.  |  |   | including, without<br>limitation, heavy timber<br>and buildings with<br>podium decks.<br>Standard wood framed<br>buildings (e.g. single<br>family or townhouses)   |   |
|---------------------------------|---|---|--|---|--|---|
| Inspection<br>cycle             | PFI - every 7 years for<br>buildings 20 years plus<br>in age<br>PSI – every 10 years for<br>residential buildings<br>(sole purpose) and<br>every 5 years for<br>commercial buildings. | At 30 years (from<br>issuance of occupation<br>permit). A further<br>statutory notice to<br>inspect same part of<br>building can't be served<br>within 10 years from the<br>original notice.  | At least once every 9<br>years   | At 30 years (from<br>issuance of certificate of<br>occupancy) and then<br>every 10 years.<br>Local agencies can<br>reduce period to 25<br>years for buildings with<br>specific environmental<br>conditions (e.g. close to<br>coast).                | For buildings older than<br>15 years, the<br>association must obtain<br>an initial "baseline"<br>structural evaluation by<br>a structural engineer<br>within two years of<br>January 8, 2024.<br>For all other buildings,<br>at 15 years (from<br>issuance of certificate of<br>occupancy) or 60 days<br>after observable<br>damage to primary load<br>bearing system. | Every 5 years   |
| Type of<br>inspection/s         | Facade Inspection<br>and<br>Structural Inspection   | Prescribed inspection<br>(common parts and<br>external walls)   | Visual inspection of<br>exterior elevated<br>elements  | Milestone inspection  | Initial structural<br>inspection   | Critical examination  |
| Scope of<br>inspection<br>items | Facade – the exterior of<br>the building or any<br>external feature<br>attached.<br>Structural – all structural<br>elements   | Building structures, fire<br>safety provisions,<br>drainage systems,<br>fixtures and installations<br>(e.g. gates, skylights,<br>fences, balustrades etc)<br>Non-structural items<br>(fins, grilles, louvers)<br>Curtain walls<br>Appendages, arch. | Load-bearing<br>components with<br>associated water-<br>proofing systems (incl.<br>flashings, membranes,<br>coatings, sealants). | Load-bearing elements<br>and primary structural<br>members (i.e. a<br>structural element<br>designed to provide<br>support and stability for<br>the vertical or lateral<br>loads of the overall<br>structure) and systems<br>(i.e. an assemblage of | Building components<br>forming the primary<br>load-bearing system<br>(i.e. the assemblage of<br>structural components<br>within a building –<br>comprised of columns,<br>beams or bracing). The<br>foundation and attached<br>balconies are included   | Exterior walls (facade)<br>and appurtenances (e.g.<br>fire escapes, exterior<br>fixtures, ladders to<br>rooftops, flagpoles,<br>signs, parapets, railing,<br>copings, window<br>frames, balcony and<br>terrace enclosures,<br>flower boxes, satellite |

|            |                             | projections and fixtures.  |                           | primary structural       | in the inspection.       | dishes, cell phone        |
|------------|-----------------------------|----------------------------|---------------------------|--------------------------|--------------------------|---------------------------|
|            |                             | [···]·····                 |                           | members).                |                          | towers etc).              |
| Inspection | Visual inspection           | Prescribed inspection –    | Visual inspection – prior | Milestone inspection     | Initial structural       | The Qualified Exterior    |
| procedure  | (Structure) – Engineer      | an examination must be     | to conducting the visual  | (phase 1) – must be      | inspection - must be     | Wall Inspector (QEWI)     |
| procedure  | must carry out visual       | carried by reference to    | inspection, the inspector | completed within 180     | performed by licensed    | designs the inspection    |
|            | survey of the building      | standards to: ascertain    | must generate a           | days of notice A         | engineers and            | program based on the      |
|            | condition the loading on    | whether the building is    | random list of the        | licensed engineer or     | architects and adhere to | building and must         |
|            | the structure and           | safe or could be           | locations for each type   | architect must           | industry best practices  | include the methods to    |
|            | determine if any works      | dangerous, identify any    | of exterior elevated      | undertake a visual       | and standards            | be employed. The          |
|            | have been carried out       | defect or deficiency and   | element (all elements     | inspection of habitable  |                          | inspection program        |
|            | without approval.           | propose repairs.           | that the association has  | and non-habitable areas  |                          | must be based on          |
|            |                             | F F                        | responsibility            | of the building and      |                          | considerations of type of |
|            | If the appointed            | If during the prescribed   | maintaining). The         | provide a qualitative    |                          | construction, age of      |
|            | engineer suspects or is     | inspection, a registered   | inspector performs the    | assessment of the        |                          | components, facade        |
|            | of the opinion that there   | inspector identifies any   | visual inspection based   | structural condition.    |                          | exposure, history of      |
|            | is a defect, deformation    | serious defect             | on the list generated.    |                          |                          | maintenance and           |
|            | or deterioration in the     | constituting structural    | en lie let generated.     | If any structural        |                          | repairs Methods used      |
|            | structure after the initial | instability or a serious   | If during the inspection. | deterioration is         |                          | to examine the building   |
|            | inspection and is of the    | health hazard, or the      | the Inspector observes    | identified, then a phase |                          | must permit a complete    |
|            | opinion that a full         | extent of the defect       | conditions indicating     | 2 inspection is required |                          | inspection. Scaffolding   |
|            | structural investigation    | cannot be ascertained.     | water has passed into     | and may involve          |                          | is preferred but other    |
|            | is required to ascertain    | a detailed examination     | waterproofing system.     | destructive testing. The |                          | measures may be used      |
|            | the cause and               | may be conducted. The      | then the inspector may    | phase 2 inspection must  |                          | includina e.a. drones.    |
|            | appropriate measures to     | Building Authority must    | conduct a further         | be undertaken within     |                          | 5 5                       |
|            | rectify, then with the      | endorse this further       | inspection.               | 180 days the phase 1     |                          |                           |
|            | approval of the             | investigation and          | •                         | report is submitted. The |                          |                           |
|            | Commissioner, a full        | receive a proposal from    |                           | investigation may be as  |                          |                           |
|            | structural investigation    | the registered inspector   |                           | extensive or limited as  |                          |                           |
|            | will take place.            | that includes specific     |                           | necessary to fully       |                          |                           |
|            | •                           | information – the          |                           | assess the structural    |                          |                           |
|            | Full structural             | purpose of conducting      |                           | distress and             |                          |                           |
|            | investigation – required    | the further investigation, |                           | recommend a repair       |                          |                           |
|            | obtaining full history of   | the proposed scope,        |                           | program.                 |                          |                           |
|            | building (designs,          | methods and                |                           |                          |                          |                           |
|            | construction,               | particulars, a summary     |                           |                          |                          |                           |
|            | maintenance), reviewing     | of the defects subject to  |                           |                          |                          |                           |
|            | structural plans and        | the further investigation  |                           |                          |                          |                           |
|            | calculations, carrying      | (with annotated photos     |                           |                          |                          |                           |
|            | out tests on structural     | and marked-up plan).       |                           |                          |                          |                           |

|              | elements and materials.    |                          |                            |                          |                            |                            |
|--------------|----------------------------|--------------------------|----------------------------|--------------------------|----------------------------|----------------------------|
|              | Visual inspection          |                          |                            |                          |                            |                            |
|              | (Facade) - Similar         |                          |                            |                          |                            |                            |
|              | process as outlined for    |                          |                            |                          |                            |                            |
|              | the Structural Inspection  |                          |                            |                          |                            |                            |
|              | but prescribes methods,    |                          |                            |                          |                            |                            |
|              | technology and             |                          |                            |                          |                            |                            |
|              | equipment that can be      |                          |                            |                          |                            |                            |
|              | used in the visual         |                          |                            |                          |                            |                            |
|              | inspection (e.g. drone,    |                          |                            |                          |                            |                            |
|              | automated scanning         |                          |                            |                          |                            |                            |
|              | equipment) and trigger     |                          |                            |                          |                            |                            |
|              | for full inspection is if  |                          |                            |                          |                            |                            |
|              | the competent person is    |                          |                            |                          |                            |                            |
|              | of the opinion that there  |                          |                            |                          |                            |                            |
|              | are signs of excessive     |                          |                            |                          |                            |                            |
|              | erosion, corrosion,        |                          |                            |                          |                            |                            |
|              | wear, fatigue, stress or   |                          |                            |                          |                            |                            |
|              | strain in the facade that  |                          |                            |                          |                            |                            |
|              | might give rise to the     |                          |                            |                          |                            |                            |
|              | occurrence of facade       |                          |                            |                          |                            |                            |
|              | inium to individuals or    |                          |                            |                          |                            |                            |
|              | demage to other            |                          |                            |                          |                            |                            |
|              | property (within or        |                          |                            |                          |                            |                            |
|              | outside the building)      |                          |                            |                          |                            |                            |
|              |                            |                          |                            |                          |                            |                            |
| Reporting    | After carrying out either  | Within 7 days of the     | After carrying out the     | After carrying out phase | After carrying out the     | The inspector must file    |
| requirements | a visual inspection or a   | prescribed inspection,   | inspection, the inspector  | 1 or 2 milestone         | structural inspection, the | a written report with the  |
|              | full investigation         | the appointed inspector  | must issue a written       | inspections, the         | inspector must issue a     | department describing      |
|              | (structural and facade)    | must submit to the       | report that identifies the | architect or engineer    | written report, to the     | the result of the critical |
|              | the appointed engineer     | Building Authority a     | building components        | must submit a copy of    | municipal appointing       | examination, clearly       |
|              | or competent person        | report that details the  | comprising the load-       | the report with a        | authority, describing the  | documenting all            |
|              | must prepare a report      | methods used in the      | bearing components         | separate summary         | condition of the load-     | conditions. A separate     |
|              | detailing the condition of | inspection, the findings | and associated             | (minimum findings and    | bearing system. The        | report must be prepared    |
|              | the building or facade     | and test results, an     | waterproofing system,      | recommendations) to      | report must include with   | and filed for each         |
|              | and recommendations        | assessment of the        | the current physical       | the association and the  | specificity any required   | building and provided to   |
|              | (IT necessary) to          | Tindings and if          | condition of the           | local government. The    | maintenance and            | the building owner. The    |

| undertake building        | applicable a proposal     | components and system    | report be signed          | repairs needed           | report must include an    |
|---------------------------|---------------------------|--------------------------|---------------------------|--------------------------|---------------------------|
| works necessary to        | for repairs to render the | including whether there  | indicate the manner and   | determine when the       | executive overview        |
| ensure the structural     | building safe             | is an immediate threat   | type of inspection        | next inspection shall be | (findings and             |
| stability or integrity of | building build.           | to health and safety of  | undertaken identify any   | performed The report     | recommendations) and      |
| any part of the building  | Within 14 days after      | residents the expected   | substantial structural    | must be prepared in      | a determine whether the   |
| or facado                 | completion of repairs     | future performance and   | dotorioration describe    | accordance with the      | building is cofo          |
| or lacade.                | the inequator must        | romaining useful life of | the extent of the         | protocolo ostablished by | SWARMD (asfe within a     |
|                           | aubmit to the building    | the components and       | deterioration and         | the American Society of  | SWARWF (sale within a     |
|                           | submit to the building    |                          |                           |                          |                           |
|                           | authority a completion    | system and               | identity                  | Civil Engineers, provide | program) or unsale. The   |
|                           | report detailing the      | recommendations for      | recommendations for       | any information or       | report must include       |
|                           | repair works undertaken   | any necessary repairs    | repair. The report must   | guidance necessary to    | prescribed information    |
|                           | and materials used and    | or replacement.          | state whether unsate or   | maintain the structural  | (e.g. name of building,   |
|                           | a statement that in the   |                          | dangerous conditions      | integrity of a covered   | address, description of   |
|                           | opinion of the inspector  |                          | were observed,            | building and if          | building, certificate of  |
|                           | the building has been     |                          | recommend any             | maintenance is           | occupant number etc), a   |
|                           | rendered safe.            |                          | remedial or preventative  | required, specify with   | detailed description of   |
|                           |                           |                          | repair for any items that | reasonable detail the    | the distress, settlements |
|                           |                           |                          | are damaged, and          | required corrective      | repairs or revisions to   |
|                           |                           |                          | identify and describe     | maintenance.             | exterior enclosures       |
|                           |                           |                          | any items requiring       |                          | since the previous        |
|                           |                           |                          | further inspection.       |                          | report, a detailed        |
|                           |                           |                          |                           |                          | description of the        |
|                           |                           |                          |                           |                          | procedures used in        |
|                           |                           |                          |                           |                          | making the critical       |
|                           |                           |                          |                           |                          | examination, the extent   |
|                           |                           |                          |                           |                          | and location of the       |
|                           |                           |                          |                           |                          | physical examinations     |
|                           |                           |                          |                           |                          | performed, detailed of    |
|                           |                           |                          |                           |                          | contractors etc involved  |
|                           |                           |                          |                           |                          | in examination, a         |
|                           |                           |                          |                           |                          | location diagram, dated   |
|                           |                           |                          |                           |                          | photo documentation. a    |
|                           |                           |                          |                           |                          | description,              |
|                           |                           |                          |                           |                          | classification and        |
|                           |                           |                          |                           |                          | mapping of each           |
|                           |                           |                          |                           |                          | significant condition     |
|                           |                           |                          |                           |                          | observed, an analysis of  |
|                           |                           |                          |                           |                          | the causes of the         |
|                           |                           |                          |                           |                          | conditions reported       |

|  |  |   |   |  |   | unsafe or SWARMP, a<br>detailed status report of<br>maintenance work<br>performed up to the<br>date of submission, a<br>comparison of current<br>and past examinations,<br>recommendations for<br>repairs or maintenance<br>and date for completion,<br>a list and description of<br>work permits required to<br>complete works.    |
|--|--|---|---|--|---|---|
| Rectification<br>requirements          | If recommendation are<br>reported, the owner<br>must carry out such<br>measures or works in<br>the prescribed time<br>period.  | If recommendations are<br>reported, the owner<br>must carry out a repair<br>in accordance with the<br>Ordinance (i.e. within 12<br>months for schemes<br>with an OC).   | If the inspector advises<br>that the element poses<br>an immediate threat to<br>the safety of occupants,<br>the association must<br>take preventive<br>measures immediately<br>including preventing<br>occupant access. | After the association<br>receives the report, it<br>must commence repairs<br>within 365 days.              | Not specified in reviewed legislation.    | If a report identifies an<br>unsafe condition, the<br>building owner must<br>immediately commence<br>repairs or<br>reinforcements. All<br>unsafe conditions must<br>be corrected within 90<br>days from the<br>submission of the<br>report.   |
| Regulatory<br>oversight &<br>penalties | A person who hinders,<br>obstructs or delays an<br>engineer or competent<br>person from performing<br>their duty is guilty of an<br>offence – max. \$5,000.<br>Failure to carry out<br>recommendations –<br>max. \$20,000.<br>Failure to appoint<br>engineer or competent<br>person is guilty of<br>offence – max. \$20,000. | If a notice is not<br>complied with, the<br>Building Authority may<br>carry out an inspection<br>and repair any required<br>works that the Authority<br>considers necessary.<br>The cost of inspection<br>and works, together with<br>a surcharge (max. 20%)<br>is recoverable as a debt<br>due to the Government.<br>Any person who fails to<br>comply with a notice | Local enforcement<br>agencies have the<br>ability to recover<br>enforcement costs<br>associated with<br>rectification.  | Local enforcement<br>agencies may prescribe<br>time limits and penalties<br>with respect to<br>compliance. | Not specified in<br>reviewed legislation. | An owner who fails to<br>file the inspection report<br>will be liable for a civil<br>penalty of \$5,000 per<br>year.<br>An owner who submits<br>a late filing will be liable<br>for a civil penalty of<br>\$1,000 per month.<br>An owner who fails to<br>correct an unsafe<br>condition will be liable<br>for a civil penalty until |

|                    |  | commits an offence and<br>is liable for a conviction<br>(fine \$5,000 for each<br>day offence has<br>continued & 3 months<br>imprisonment). |                            |                               |                               | the unsafe condition is corrected - \$1,000 per month. |
|--------------------|--|---|----------------------------|-------------------------------|-------------------------------|--|
| Related department | Building and<br>Construction Authority – | Buildings Department  | Local government authority | Local government<br>authority | Local government<br>authority | City of New York,<br>Department of Buildings           |
| involved           | Commissioner of<br>Building Control      |   |                            |                               |                               |  |

Table 5: Localised regulation summaries of mandated building inspections for ageing buildings

| Country / State<br>/ City                    | Miami Dade County<br>(Fl.)  | Chicago (IL.)  | San Francisco (Cal.)   | Boston (Mas.)  | Cincinnati (Oh.)   | Cleveland (Oh.)   |
|--|---|--|--|--|--|---|
| Title of<br>Building<br>Inspection<br>Scheme | Recertification   | Exterior Wall Program  | Facade Inspection and<br>Maintenance Program   | Boston Facade<br>Ordinance Inspections                                 | Facade and Fire<br>Escape Inspection<br>Program  | Not specified   |
| Relevant<br>regulation                       | Miami-Dade County<br>Code, Building Code,<br>§8-11 (Existing<br>Buildings)                          | City of Chicago Rules –<br>Maintenance of high-<br>rise exterior walls and<br>enclosures | Building Code, Building<br>Facade Inspection and<br>Maintenance,<br>Ordinance no. 67-16  | City of Boston,<br>Municipal Code,<br>Building Regulations, 9-<br>9.12 | Cincinnati Building<br>Code, General<br>Inspection Programs<br>Code, Chapter 1127  | Codified Ordinances of<br>the City of Cleveland,<br>Building Code, Chapter<br>3143 - Exterior Walls<br>and Appurtenances. |
| Year of inception                            | 1975  | 1996   | 2016   | 1995   | 2016   | 2016  |
| Regulatory<br>purpose<br>(summary)           | Determine the general<br>structural condition of a<br>building or structure<br>which affects safety | Not specified in the regulations reviewed  | Promote public safety<br>and welfare by<br>reducing the risk of<br>death or injury that may<br>result from the effects of<br>deterioration on exterior<br>facades of buildings | Not specified in the regulations reviewed                              | Ensure that the facades<br>of tall buildings of<br>advanced age are<br>maintained in a safe<br>condition and do not<br>pose public safety<br>hazards | Maintain a building's<br>exterior walls and<br>appurtenances in a safe<br>condition                                       |
| Target                                       | All buildings   | Buildings more than 80   | Buildings 5 stories or   | Buildings more than 70   | Buildings at least 5   | Any structure that is 5   |

| buildings           |  | feet (24 metres) above<br>ground  | more  | feet (21 metres) or<br>classified as a high-rise<br>structure | stories or at least 60<br>feet (18 metres) above<br>ground (whichever is<br>less)                                | stories or 75 feet (23<br>metres) above ground<br>(whichever is shorter) |
|---------------------|--|---|---|---|--|--|
| Exemptions          | Single-family<br>residences, duplexes<br>and minor structures  |   |   |   | 1,2,3 family residential buildings   |  |
| Inspection<br>cycle | New provision: 2022<br>Condominiums 3 stories<br>or taller built between<br>1983 and 1997 within 3<br>miles (4.8km) of the<br>coastline must be<br>certified by 31/12/24<br>and then every 10<br>years.<br>Condominiums 3 stories<br>or taller built after 1998<br>within 3 miles (4.8km) of<br>the coastline must be<br>certified when building<br>reaches 25 years and<br>then every 10 years.<br>All other buildings built<br>between 1983 and 1992<br>must be inspected by<br>21/12/24 and then every<br>10 years or for building<br>built on or after 1993,<br>when the building<br>reaches 30 years and<br>then every 10 years.<br>Previous requirement:<br>Buildings in existence<br>for 40 years or longer | Critical inspection every<br>4, 8 or 12 years<br>depending on building<br>categorisation (e.g. terra<br>cotta facade = every 4<br>years, aluminium and<br>glass curtain wall<br>system = every 12<br>years). Ongoing<br>inspections every 2<br>years.<br>Inspections of fire<br>escapes, water tank<br>supports, antenna<br>towers, canopies, metal<br>cornices, sign supports,<br>flag poles, and other<br>metal structures<br>susceptible to<br>deterioration due to<br>weather are required to<br>be inspected every 5<br>years. | At 30 years (from<br>issuance of Certificate<br>of Final Completion)<br>and then every 10 years<br>If facade elements<br>exhibit significant<br>damage or failure during<br>the normal passage of<br>time, then the property<br>owner is required to<br>obtain an inspection<br>within 60 days. | Every 5 years   | At 15 years (post<br>construction) and then<br>every 5, 8 or 12 years<br>depending on building<br>categorisation | At 30 years and then<br>every 5 years                                    |

| Type of<br>inspection/s         | and then every 10<br>years. This requirement<br>still applies to building<br>built prior to 1982 and<br>previously inspected.<br>Visual inspection -<br>Recertification of<br>building and<br>components.  | Visual inspection and<br>critical examination of<br>exterior walls (facade)<br>inspection.  | General and detailed<br>inspections of exterior<br>walls (facade)<br>inspection.   | Periodic inspection -<br>exterior walls (facade)<br>inspection. | Visual inspection -<br>Exterior walls (facade)<br>inspection.  | Critical inspection -<br>Exterior walls (facade)<br>inspection.   |
|---------------------------------|--|---|--|---|--|---|
| Scope of<br>inspection<br>items | Any part, material or<br>assembly of a building<br>or structure which<br>affects the safety of the<br>building or structure<br>and/or which supports<br>any dead or designed<br>live load, and the<br>general condition of its<br>electrical systems | The examination is<br>limited to the surface of<br>the exterior wall where<br>the appurtenances (e.g.<br>fire escapes, balconies,<br>chimneys, hanging air<br>conditions) are in<br>contact with the wall<br>and their impact if any<br>on the integrity of the<br>wall (short form).<br>If it is discovered that<br>conditions impact the<br>integrity of the exterior<br>wall, the professional<br>must inform the owner<br>and conduct a critical<br>examination. This<br>examination is a closer<br>inspection and the<br>professional must<br>categorise the exterior<br>wall as "unsafe and<br>imminently hazardous"<br>"safe with a repair and<br>maintenance program"<br>or "safe condition". | Elements to be included<br>in inspection: facade<br>elements, attached<br>equipment (e.g.<br>communication, pipes),<br>decorative elements<br>(e.g. balustrades),<br>signs, fire escapes,<br>flagpoles, vertical<br>extensions, lights and<br>other fixtures, hanging<br>air conditioners, other<br>elements that could<br>pose a safety hazard. | All exterior walls and appurtenances.                           | All areas on the exterior<br>of the building except<br>for horizontal roof areas.<br>The facade includes: all<br>walls, windows,<br>balconies, cornices,<br>parapets and<br>appurtenances. | All exterior walls and<br>appurtenances<br>Such inspection shall<br>meet or exceed the<br>general inspection<br>standards under the<br>ASTM Standards for<br>Periodic Inspection of<br>Building Facades for<br>Unsafe Conditions<br>contained in<br>Designation E2270-14. |
| Inspection                      | Inspection procedures shall conform, in  | In performing a critical examination, the   | Inspections and<br>maintenance must be   | Prior to any new<br>inspection, the                             | The examination shall include: a close-up  | A qualified inspector<br>must undertake the   |

| procedure | general, with the          | professional must           | conducted in            | registered professional   | visual examination of    | inspection.              |
|-----------|----------------------------|-----------------------------|-------------------------|---------------------------|--------------------------|--------------------------|
| p         | minimum inspection         | ,<br>conduct or supervise a | accordance with         | must review previous      | the building to be       | Such inspection shall    |
|           | procedural guidelines as   | close-up visual             | procedures to be        | reports, inspections.     | performed from a         | meet or exceed the       |
|           | issued by the Board of     | examination to              | detailed in an          | and evidence of repairs   | platform or device which | general inspection       |
|           | Rules and Appeals.         | determine whether an        | Administrative Bulletin | made in the past five (5) | allows an inspection of  | standards under the      |
|           |                            | exterior wall and           | adopted by the          | vear period.              | the facade area which    | ASTM Standards for       |
|           | Visual inspection - Must   | enclosure should be         | Department              | , I                       | can be reached by one    | Periodic Inspection of   |
|           | be conducted               | characterised as            | based on ASTM E 2270    | The inspection must be    | scaffold drop per        | Building Facades for     |
|           | throughout all habitable   | "unsafe and imminently      | Standard Practice for   | conducted by the          | elevation. Other         | Unsafe Conditions        |
|           | and non-habitable areas    | hazardous": "safe with a    | Periodic Inspection of  | professional to           | methods may include      | contained in             |
|           | of the building, as        | repair and maintenance      | Building Facades for    | determine the extent of   | photographic             | Designation E2270-14     |
|           | deemed necessary, by       | program": or "safe          | Unsafe                  | the inspection required.  | magnification            | Any areas found to be    |
|           | the inspecting             | condition."                 | Conditions              | based upon the known      | techniques, remote       | deficient in the general |
|           | professional to establish  |                             |                         | history of the building.  | observation equipment    | inspection shall require |
|           | compliance. Surface        | A close-up visual           |                         | the nature of the         | or infra-red or          | a detailed inspection.   |
|           | imperfections such as      | examination must make       |                         | materials used, and the   | thermography cameras.    |                          |
|           | cracks. distortion.        | physical contact with       |                         | conditions observed.      | which can demonstrate    |                          |
|           | sagging, excessive         | those portions of the       |                         |                           | reasonable reliability   |                          |
|           | deflections, significant   | exterior wall reachable     |                         | The registered            | and which may be         |                          |
|           | misalignment.              | by hand or tool while       |                         | professional shall        | approved in addition to  |                          |
|           | signs of leakage and       | utilising scaffolding.      |                         | ,<br>determine methods    | the close-up visual      |                          |
|           | peeling of finishes        | boatswain chairs. or lifts  |                         | emploved in the           | examination by the       |                          |
|           | should be viewed           | at a minimum of one (1)     |                         | inspection and the        | director on a case-by-   |                          |
|           | critically as indications  | representative drop on      |                         | methods used to inspect   | case basis.              |                          |
|           | of possible difficulty.    | each public way             |                         | a building shall permit a |                          |                          |
|           | 1                          | spanning no less than       |                         | physical, hands-on        | A remote examination of  |                          |
|           | If once visually           | twenty-four (24) feet. If   |                         | inspection of the         | those facade areas       |                          |
|           | examined it is revealed    | any repairs are             |                         | building. The registered  | which are not            |                          |
|           | that there are critical    | required, only              |                         | professional may use      | accessible during the    |                          |
|           | issues, then testing       | scaffolding shall be        |                         | other methods of          | close-up visual          |                          |
|           | procedures and             | used.                       |                         | inspection as deemed      | examination.             |                          |
|           | quantitative analysis will |                             |                         | appropriate, including    |                          |                          |
|           | be required.               |                             |                         | the use of digital        | A complete review of     |                          |
|           | •                          |                             |                         | imaging, video and        | the most recently        |                          |
|           |                            |                             |                         | drone technology          | prepared inspection      |                          |
|           |                            |                             |                         | appropriate to complete   | report.                  |                          |
|           |                            |                             |                         | a comprehensive           |                          |                          |
|           |                            |                             |                         | inspection, except that a | A complete review of     |                          |
|           |                            |                             |                         | physical inspection from  | the pertinent drawings   |                          |

|              |                       |                           |                         | a scaffold or other        | and specifications of the  |                           |
|--------------|-----------------------|---------------------------|-------------------------|----------------------------|----------------------------|---------------------------|
|              |                       |                           |                         | observation platform is    | building to determine      |                           |
|              |                       |                           |                         | required for a             | the specified designs of   |                           |
|              |                       |                           |                         | representative sample      | the facades on the         |                           |
|              |                       |                           |                         | of the exterior wall       | building                   |                           |
|              |                       |                           |                         |                            | banang.                    |                           |
|              |                       |                           |                         | During the course of the   | A complete review of       |                           |
|              |                       |                           |                         | inspection, photographs    | the drawings,              |                           |
|              |                       |                           |                         | shall be taken and/or      | specifications, and        |                           |
|              |                       |                           |                         | sketches made to           | maintenance reports on     |                           |
|              |                       |                           |                         | properly document the      | previous repair work       |                           |
|              |                       |                           |                         | location of all conditions | performed on the           |                           |
|              |                       |                           |                         | observed that are either   | facades.                   |                           |
|              |                       |                           |                         | unsafe or safe with a      |                            |                           |
|              |                       |                           |                         | repair and maintenance     | Documentation of the       |                           |
|              |                       |                           |                         | program.                   | condition of the facades   |                           |
|              |                       |                           |                         | 1                          | through photographs        |                           |
|              |                       |                           |                         |                            | and drawings               |                           |
|              |                       |                           |                         |                            | and drawings.              |                           |
|              |                       |                           |                         |                            | Identification of any wall |                           |
|              |                       |                           |                         |                            | areas that are bowed       |                           |
|              |                       |                           |                         |                            | bulged displaced or        |                           |
|              |                       |                           |                         |                            | looping inword or          |                           |
|              |                       |                           |                         |                            | eutword and where          |                           |
|              |                       |                           |                         |                            |                            |                           |
|              |                       |                           |                         |                            | such delects exist, an     |                           |
|              |                       |                           |                         |                            | examination of the         |                           |
|              |                       |                           |                         |                            | condition of a sufficient  |                           |
|              |                       |                           |                         |                            | number of metal ties,      |                           |
|              |                       |                           |                         |                            | anchors and shelf          |                           |
|              |                       |                           |                         |                            | angles that support the    |                           |
|              |                       |                           |                         |                            | wall at these locations.   |                           |
|              |                       |                           |                         |                            | Examination of the         |                           |
|              |                       |                           |                         |                            | substrate of wall areas    |                           |
|              |                       |                           |                         |                            | with external visible      |                           |
|              |                       |                           |                         |                            | dietroee                   |                           |
| Reporting    | After carrying out a  | All critical examination  | The professional        | The inspection report      | Fach professional          | The report must           |
| reputing     | visual inspection the | reports shall include the | undertaking the         | shall be a written report  | conducting an              | document the condition    |
| requirements | onginger or creditect | following information:    |                         | by the prohitest or        |                            | of the exterior wells and |
|              | engineer of architect | tonowing information.     | inspection must prepare | by the alchitect of        |                            |                           |

| must provide a written     | Name and address of         | an inspection report in | engineer certifying the   | prepare a written          | appurtenances as either     |
|----------------------------|-----------------------------|-------------------------|---------------------------|----------------------------|-----------------------------|
| report within 90 days      | building; site plan of      | conformity with Section | results of the            | inspection report to       | safe, unsafe, or safe       |
| from the date of the       | building; principal         | 1604E and the           | examination clearly       | document the findings      | with a repair and           |
| rectification notice.      | building occupancy and      | Administrative Bulletin | documenting the           | of the examination.        | maintenance program         |
|                            | type of mixed use;          | adopted.                | condition of the exterior |                            | and document all            |
| Each report shall          | complete name, mailing      |                         | walls and                 | Each inspection report     | significant deterioration,  |
| include a statement to     | address and phone           |                         | appurtenances. The        | shall include: the name    | unsafe conditions, and      |
| the effect that the        | number for the owner,       |                         | report shall include a    | and address of the         | movement observed, in       |
| building or structure is   | name, business address      |                         | record of all significant | building and building      | sufficient detail so that a |
| structurally safe, unsafe, | and phone number of         |                         | deterioration, unsafe     | owner; the name,           | comparison of               |
| safe with qualifications,  | professional preparing      |                         | conditions and            | business address, and      | successive reports will     |
| or has been made safe.     | the critical examination    |                         | movement observed as      | phone number of the        | indicate any change of      |
|                            | report; description of      |                         | well as a statement       | professional preparing     | condition. Building         |
| The report is in the form  | building, including:        |                         | regarding the water       | the report; a site plan of | demographic                 |
| of a prescribed form (14   | number of stories;          |                         | tightness of the exterior | the building; a            | information must be         |
| pages). The form details   | height, plan dimensions,    |                         | surfaces.                 | description of the         | included and a complete     |
| the areas requiring        | age and type of exterior    |                         |                           | building, including the    | description of              |
| completion: the building   | wall construction,          |                         |                           | number of stories,         | inspections conducted       |
| description (e.g.          | describing cornices,        |                         |                           | height, plan dimensions,   | based on ASTM E2270-        |
| address, owner, use,       | soffits or similar          |                         |                           | age, and type of exterior  | 14, including the           |
| floors, structures),       | overhangs or features;      |                         |                           | wall construction,         | locations of and            |
| inspections (inspector,    | overall photographs or      |                         |                           | describing cornices,       | descriptions the general    |
| licensing, dates,          | drawings of all             |                         |                           | soffits, or similar        | inspection areas and        |
| description of testing,    | elevations of the           |                         |                           | overhangs or features;     | any of detailed             |
| repairs required, status   | building; detailed          |                         |                           | overall photographs or     | inspection areas.           |
| in terms of continued      | description of the critical |                         |                           | drawings of all            |                             |
| occupation, supporting     | examination in narrative    |                         |                           | elevations of the          |                             |
| data (photos, diagrams     | form, that must include     |                         |                           | building; a detailed       |                             |
| etc), foundation           | characterisation of the     |                         |                           | description of the         |                             |
| information (drainage,     | building as: "unsafe and    |                         |                           | facade examination in      |                             |
| description of cracks      | imminently hazardous",      |                         |                           | narrative form, including  |                             |
| etc), present condition    | "safe with a repair and     |                         |                           | start and completion       |                             |
| of overall structure,      | maintenance program"        |                         |                           | dates; a designation of    |                             |
| masonry bearing walls      | or "safe"; the start and    |                         |                           | the building facade's      |                             |
| (spalling, rebar           | the completion dates of     |                         |                           | status by the              |                             |
| corrosion etc), floor and  | the exam; drawings or       |                         |                           | professional as "safe,"    |                             |
| roof system, steel         | photographs to describe     |                         |                           | "safe with ordinary        |                             |
| framing system,            | the locations and extent    |                         |                           | repair and                 |                             |
| windows and exterior       | of all significant distress |                         |                           | maintenance," "unsafe,"    |                             |

|               | doors, building facade    | or deteriorated           |                          |                         | or "unsafe and              |                          |
|---------------|---------------------------|---------------------------|--------------------------|-------------------------|-----------------------------|--------------------------|
|               | inspection, parking       | conditions observed in    |                          |                         | imminently hazardous";      |                          |
|               | garages.                  | the exterior walls.       |                          |                         | drawings or                 |                          |
|               |                           |                           |                          |                         | photographs describing      |                          |
|               |                           |                           |                          |                         | the locations and extent    |                          |
|               |                           |                           |                          |                         | of all significant distress |                          |
|               |                           |                           |                          |                         | or deteriorated             |                          |
|               |                           |                           |                          |                         | conditions observed in      |                          |
|               |                           |                           |                          |                         | the facade; a               |                          |
|               |                           |                           |                          |                         | description of              |                          |
|               |                           |                           |                          |                         | recommended repair          |                          |
|               |                           |                           |                          |                         | work and precautionary      |                          |
|               |                           |                           |                          |                         | measures that should        |                          |
|               |                           |                           |                          |                         | be taken to safeguard       |                          |
|               |                           |                           |                          |                         | the public, emergency       |                          |
|               |                           |                           |                          |                         | responders, and             |                          |
|               |                           |                           |                          |                         | building occupants, if      |                          |
|               |                           |                           |                          |                         | any, and the                |                          |
|               |                           |                           |                          |                         | recommended                 |                          |
|               |                           |                           |                          |                         | completion date of such     |                          |
|               |                           |                           |                          |                         | work; where                 |                          |
|               |                           |                           |                          |                         | appropriate, a              |                          |
|               |                           |                           |                          |                         | comparison of               |                          |
|               |                           |                           |                          |                         | conditions of the           |                          |
|               |                           |                           |                          |                         | building facade with        |                          |
|               |                           |                           |                          |                         | conditions observed         |                          |
|               |                           |                           |                          |                         | during previous             |                          |
|               |                           |                           |                          |                         | examinations of the         |                          |
|               |                           |                           |                          |                         | same facade: a              |                          |
|               |                           |                           |                          |                         | recommendation for          |                          |
|               |                           |                           |                          |                         | future examination if       |                          |
|               |                           |                           |                          |                         | earlier than the time       |                          |
|               |                           |                           |                          |                         | period specified: other     |                          |
|               |                           |                           |                          |                         | documents notes             |                          |
|               |                           |                           |                          |                         | summaries etc.              |                          |
| Rectification | In the event that repairs | Upon determining that     | Within 60 days of        | Within twenty-four (24) | Any professional            | Upon the discovery of    |
| requiremente  | or modifications are      | an exterior wall is in an | receipt of an inspection | hours of being notified | retained to provide an      | an unsafe condition      |
| requirements  | found to be necessary     | unsafe and imminently     | report the Department    | of an unsafe condition  | inspection report must      | relating to the exterior |
|               | resulting from the        | hazardous condition       | shall confirm receipt of | by a registered         | inspection report must      | walls or appurtenances   |
|               |                           |                           |                          | a, a regiotorea         |                             | mano or appurtonunoco,   |

| recertification           | the owner and  | the report, provide    | professional, the owner  | notify the director of anv   | the owner shall notify   |
|---------------------------|--|------------------------|--|--|--|
| inspection, the owner     | professional must  | review comments, if    | of a building shall take   | determination that a   | the Director of Building   |
| shall have a total of 150 | promptly notify the  | any, and confirm       | any actions necessary  | building facade, or part   | and Housing  |
| days from the date of     | Department. It is the  | timelines and other    | to protect public safety,  | thereof, is "unsafe and  | immediately in writing of  |
| Notice of Required        | responsibility of the  | requirements for       | such as erecting   | imminently hazardous"  | such condition, and  |
| Inspection in which to    | professional to  | maintenance            | sidewalk sheds, fences,  | within one business day  | immediately begin  |
| complete indicated        | personally examine the   | actions and subsequent | and/or safety netting.   | of making the  | repair, reinforcement or   |
| repairs or modifications. | condition and determine  | inspections.           | Such actions shall be  | determination.   | precautionary  |
| repairs or modifications. | condition and determine<br>the appropriate repair or<br>stabilisation procedures.<br>The owner of the<br>building shall promptly<br>begin repairs or<br>stabilisation of an<br>unsafe and imminently<br>hazardous condition. | inspections.           | Such actions shall be<br>considered as an effort<br>to remedy an<br>emergency situation<br>and appropriate permit<br>applications shall be<br>submitted within the<br>next three (3) days to<br>the Commissioner.<br>Within ten (10) days of<br>the receipt or filing of a<br>report identifying an<br>unsafe condition, the<br>owner of a building shall<br>commence work to<br>correct the condition<br>and work shall continue<br>without interruption until<br>the unsafe condition has<br>been corrected, unless<br>there has been an<br>unforeseen delay (e.g. | determination.<br>The director shall review<br>each report and issue<br>orders to make needed<br>repairs based on each<br>respective building<br>status as follows:<br>Buildings Determined<br>"Safe." Buildings<br>Determined "Safe With<br>an Ordinary Repair and<br>Maintenance Program."<br>- the director shall order<br>that the repairs and<br>maintenance<br>recommended in the<br>report be performed<br>within the timeframe<br>recommended and that<br>the owner or person in<br>control submit a report<br>no later than 30 days | precautionary<br>measures, with the<br>required permits, to<br>abate the unsafe<br>condition to ensure<br>public safety. |
|                           |  |                        | weather, labour strike).   | the repairs and  |  |
|                           |  |                        | Work to correct an   | maintenance conform to   |  |
|                           |  |                        | unsafe condition shall   | the recommendations.   |  |
|                           |  |                        | take priority over any   | Buildings Determined   |  |
|                           |  |                        | other permitted work at  | "Unsafe." - order that   |  |
|                           |  |                        | (2) weaks often the  | the recommended  |  |
|                           |  |                        | (2) weeks after the  | repairs and  |  |
|                           |  |                        | unsale condition has   | maintenance be   |  |
|                           |  |                        | been corrected, the  |  |  |

| Regulatory              | If the property owner  | Penalties for violations                                  | The Director shall  | registered professional<br>shall reinspect the<br>building and file with the<br>Commissioner a<br>detailed amended report<br>stating the condition of<br>the building.<br>Conditions That Are<br>Safe with a Repair and<br>Maintenance<br>Program. The owner of<br>the building is<br>responsible for ensuring<br>that the conditions<br>described in the report<br>as "safe with a repair<br>and maintenance<br>program" are repaired<br>and the actions<br>identified by the<br>registered professional<br>are completed within the<br>time frame designated<br>by the registered<br>professional or by such<br>time necessary to<br>prevent a condition from<br>becoming an unsafe<br>condition, whichever is<br>sooner. | performed within the<br>recommended<br>timeframe and that the<br>owner or person in<br>control retain a<br>professional and submit<br>a report within 30 days<br>thereafter indicating that<br>the repairs and<br>maintenance conform to<br>the recommendations.<br>Buildings Determined<br>"Unsafe and Imminently<br>Hazardous." - The<br>director shall further<br>order the following: That<br>appropriate<br>precautionary measures<br>be taken by the owner<br>prior to a scheduled city<br>inspection to prevent<br>further deterioration and<br>to make the building<br>safe to the public,<br>emergency responders,<br>and building occupants. | In addition to any other  |
|-------------------------|--|---|---|---|--|---|
| oversight and penalties | fails to obtain the<br>recertification within the<br>timeframe required, the<br>property is referred to<br>the Unsafe Structures | shall be provided in the<br>Municipal Code of<br>Chicago. | implement the<br>procedures when any of<br>the requirements for<br>facade inspection,<br>reporting, mitigation, | exterior wall certificate<br>who fails to have the<br>structure inspected or<br>fail to file the inspection<br>report with the required   | with an order shall be<br>liable for a Class D Civil<br>Offense for an initial<br>offense. For each<br>subsequent offense  | method of enforcement<br>provided for in Chapter<br>3103, whoever violates<br>any provision of this<br>chapter is guilty of a |

|                                   | Section and an<br>enforcement case is<br>opened. Unsafe<br>Structures monitors the<br>recertification process<br>thereafter including<br>posting the building<br>unsafe; issuance of a<br>Notice of Violation;<br>referral to the Unsafe<br>Structures Board; and<br>review of Board Order<br>timelines for compliance<br>and repairs, orders to<br>vacate, collections of<br>enforcement cost and<br>any other action<br>deemed necessary. |   | repair, or maintenance<br>are not met in a timely<br>manner. | fee shall be punished by<br>a fine of one hundred<br>(\$100.00) dollars for<br>each day that such<br>violation shall continue. | occurring within one<br>year after having once<br>been notified of an initial<br>offense, any person<br>who fails to comply with<br>an order shall be liable<br>for a Class E Civil<br>Offense. Each<br>additional day that a<br>person fails to comply<br>with an order of the<br>director shall constitute<br>a separate civil offense. | misdemeanour of the<br>first degree. Each day<br>during which<br>noncompliance or a<br>violation continues shall<br>constitute a separate<br>offense. Organizations<br>convicted of an offense<br>shall be fined. |
|-----------------------------------|---|---|--|--|---|---|
| Related<br>department<br>involved | Miami-Dade County<br>Department of<br>Regulatory and<br>Economic Resources  | City of Chicago,<br>Department of Buildings | Department of Building<br>Inspections                        | Inspectional Services<br>Department  | Department of Buildings   | Department of Building<br>and Housing   |

#### Discussion

More than half of the strata buildings in Australia are over 20 years old.<sup>15</sup> Therefore, it is critical that Australian state and territory governments consider the introduction of mandatory inspection programs for ageing strata buildings. It is evident that more and more jurisdictions around the world will consider and implement these types of inspections in the future. Although ad hoc reforms should be discouraged until in-depth research and evaluation can be carried out, it is a subject that should be placed on reform agendas. This crossjurisdictional analysis provides a good starting point for any evaluation. Given that building defects have infected much of the Australian strata stock built in the last 20 years, it is appropriate to consider more comprehensive inspection programs (as opposed to facade inspections). Singapore, Hong Kong and Florida (including Miami-Dade County) offer a framework that may be suitable in Australia and should be further explored. Simple replication should be avoided until research is conducted that evaluates these existing programs and considers Australian construction practices. Although we have an understanding of the most problematic construction defects in new strata schemes (particularly on the east coast), there is limited research on the most common repair and maintenance issues in ageing buildings and the extent to which they are a result of unrectified or poorly rectified construction defects. Such an analysis would be essential in determining the building elements that should be inspected.

Research should be undertaken that examines the:

- extent of owners corporations that comply with the statutory obligation to (repair and) maintain the common property;
- current condition of strata buildings by age and location;
- extent of unresolved construction defects on long-term building maintenance;
- type of environmental conditions that may exacerbate or accelerate maintenance issues;
- types of technologies available to assist in the assessment of maintenance failures; and
- feasibility (resourcing) of these types of inspection programs. To lessen any immediate resourcing burdens, prioritising buildings based on determined risk factors (such as the construction methods / products used) may be more practical.

Evaluating the impact of Hong Kong's Voluntary Building Assessment Scheme would also be a worthwhile exercise in determining whether such an initiative has a material effect on maintenance proactivity.

Paper note: The author of this paper is currently completing a research project that is exploring building rectification processes and practices in strata and community title schemes. One of the aims is to determine how effective owners corporations are at making decisions regarding complex repair and maintenance works and the potential barriers that prevent successful outcomes.

<sup>&</sup>lt;sup>15</sup> Hazel Easthope, Danielle Hynes, Yi Lu, Reg Wade, 'Australasian Strata Insights 2022, City Futures Research Centre (2023) UNSW Sydney.